

UNITED STATES PATENT APPLICATION

for

**Descriptors Adjustment When Using Steerable Pyramid
to Extract Features for Content Based Search**

Inventors:
Lizhi Wang

Prepared by:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8598

Attorney's Docket No.: 80398.P229

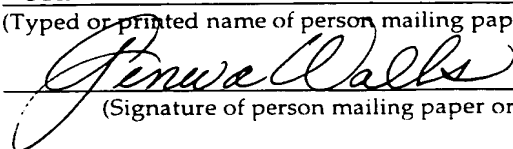
"Express Mail" mailing label number EM53159924US

Date of Deposit November 15, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Geneva Walls

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

Descriptors Adjustment When Using Steerable Pyramid to Extract Features for Content Based Search

FIELD OF THE INVENTION

5

The present invention is in the field of image descriptors and image processing. More specifically, the present invention relates to a technique for image descriptor adjustment when using steerable pyramids to extract image features for content-based search.

10

BACKGROUND

As image processing applications become more complex, an image search engine needs to be able to search and retrieve information about
15 images effectively and efficiently. Images are often retrieved from a database by similarity of image features. Image processing allows for the comparison of a reference image against another image or multiple images in order to determine a "match" or correlation between the respective images. Accordingly, a variety of different image-matching techniques have
20 been employed to determine such a match or correlation between images.

One such image matching technique is known as object classification. The object classification technique operates by segmenting the original image into a series of discrete objects. These discrete objects are then
25 measured using a variety of shape measurement identifications, such as shape dimensions and statistics, to identify each discrete object.

Accordingly, each of the discrete objects are then classified into different categories by comparing the shape measurement identifications associated with each of the discrete objects against known shape measurement identifications of known reference objects. As such, the shape measurement
5 identifications associated with each of the discrete objects are compared against known shape measurement identifications of known reference objects in order to determine a correlation or match between the images.

Another image matching technique utilized in determining a match
10 between images is a process known as match filtering. Match filtering utilizes a pixel-by-pixel or image mask comparison of an area of interest associated with the proffered image against a corresponding interest area contained in the reference image. Accordingly, provided the area of interest associated with the proffered image matches the corresponding interest area
15 of the reference image, via comparison, an area or pixel match between the images is accomplished and the images are considered to match.

Yet another technique utilizes a series of textual descriptors which are associated with different reference images. The textual descriptors describe
20 the image with textual descriptions, such as shape (e.g., round), color (e.g., green), and item (e.g., ball). Accordingly, when a proffered image is received for comparison, the textual descriptor of the proffered image is compared against the textual descriptors associated with the reference images. As such, the textual descriptor associated with the respective
25 images under comparison are compared to each other in order to determine

a best match between the textual descriptions associated with each image,
and therefore a match between the respective images.

Each of the aforementioned image matching techniques uses different
5 types of data or partial image data to describe the images. However, these
techniques typically may not use the actual full image data associated with
the each image. Accordingly, these techniques do not provide for an
optimally accurate image comparison since they are limited to the usage of
only a small or fractional portion of the full representative image data. Thus,
10 when a search for similar images is conducted against a basis image, these
techniques often result in the matching of very different images as having a
correlation to one another. This partial-matching result is due in part by the
limited amount or type of data used in the image comparison process.

15

SUMMARY OF THE INVENTION

A method of creating image descriptors by applying steerable filter to Laplacian images of a steerable pyramid is disclosed. The Laplacian images are
5 generated by two corresponding Gaussian images in the steerable pyramid. If the Laplacian images possess negativity, they are adjusted accordingly to eliminate the negativity. Steerable filters are applied to the non-negative Laplacian images to generate orientation data and energy data. The adjustment made to the Laplacian images are correspondingly removed from the orientation data and the energy data.

10

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example in the following drawings in which like references indicate similar elements. The following drawings disclose various embodiments of the present invention for purposes of illustration only and are not intended to limit the scope of the invention.

Figure 1 illustrates an exemplary simplified flow diagram showing generation of image descriptors from an input image.

Figure 2A illustrates one exemplary steerable pyramid.

Figure 2B illustrates an exemplary block diagram showing construction of an image descriptor from an original image.

Figure 3A illustrates an exemplary multi-band image along with its corresponding orientation map, energy map, histogram, and co-occurrence matrix.

Figure 3B illustrates an exemplary block diagram of the image descriptor corresponding to the multi-band image of Figure 3A.

Figure 4 illustrates an exemplary computer system that can be used in accordance with the present invention.

Figure 5 illustrates an exemplary computer-readable medium that can be used in accordance with the present invention.

DETAILED DESCRIPTION

The following detailed description sets forth numerous specific details to provide a thorough understanding of the invention. However, those of ordinary skill in the art will appreciate that the invention may be practiced without these specific details. In other instances, well-known methods, procedures, protocols, components, algorithms, and circuits have not been described in detail so as not to obscure the invention.

Figure 1 illustrates an exemplary simplified flow diagram of one embodiment of the present invention. At step 11, an original image is received. At step 12, using Gaussian filter in the Gaussian pyramid, the original image is filtered to produce lower resolution images of the original image. These filtered images are then used to create images in the Laplacian pyramid in step 13. At step 14, the Laplacian images are adjusted, if necessary, to keep them from being negative in values. This ensures that the input to the steerable filters of step 15 is always positive. The steerable filters generate orientation map and energy map for the corresponding input images. Finally, in step 16, the image descriptors of the original image are created and may subsequently be processed.

A steerable pyramid is a multi-scale, multi-oriented linear image decomposition that provides a useful front-end for many computers image application. In one embodiment of the steerable pyramid, the original image is decomposed into sets of low-pass and band-pass components via Gaussian and Laplacian pyramids, respectively.

The Gaussian pyramid consists of low pass filtered (LPF) versions of the input image in decreasing resolution. The LPF versions of the input images are the Gaussian filter responses. **Figure 2A** illustrates an exemplary representation of the steerable pyramid as used in one embodiment of the present invention. Each stage of the Gaussian pyramid is computed by low-pass filtering and by sub-sampling of the previous stage. For example, starting with the original image 21, the low-resolution images 22 and 23 in the Gaussian pyramid are generated by applying Gaussian filters to the image in the previous stage.

Once the appropriate Gaussian pyramid images have been generated, the Laplacian pyramid images can be generated. The Laplacian pyramid consists of band-pass filtered (BPF) versions of the input image. Each stage of the Laplacian pyramid are formed by subtracting the neighboring two Gaussian pyramid levels. In **Figure 2A**, the Laplacian pyramid includes images 24, 25, and 26. In this example, Laplacian image 25 is derived from Gaussian image 22 and Gaussian image 23. The bottom level of the Laplacian pyramid 26 is merely the bottom level of the Gaussian pyramid 23 as there is nothing left to subtract. The pyramid algorithm breaks the image down into a series of frequency bands which, when summed together, reproduce the original image without any distortion.

One aspect of this pyramid algorithm is that the result of the subtraction of the two Gaussian images is not always positive. According to the example shown in **Figure 2A**, the output image of a Laplacian filter or, in

other words, the subtraction of the two corresponding Gaussian images might possibly be negative. In one embodiment, prior to applying the steerable filters to the Laplacian image, a verification of the subtraction result is conducted. If the result is negative, an adjustment is added to keep it from being negative. The adjustment is later removed without causing any variances to the image. The output of the steerable filter is an orientation and an energy map. **Figure 2A** illustrates the output orientation map 27 and the output energy map 28 corresponding to the input Laplacian image 24.

Figure 2B illustrates an embodiment of a method for generating a multi-element image descriptor. Particularly, **Figure 2B** illustrates, in block flow diagram format, one embodiment of a method of generating an image descriptor which is representative of a multi-band image for use in image processing.

Image features extracted from the output of spatial filters are often used for image representation. The application of multi-band images to spatial filters enables the construction of feature sets which contain a wide range of spectral and spatial properties. One such type of oriented spatial filter is the steerable filter. Steerable filter is a class of filters in which a filter of arbitrary orientation is synthesized as a linear combination of a set of "basis filters". Steerable filters obtain information about the response of a filter at any orientation using the small set of these basis filters.

In one embodiment, $x^2 \exp\left(-\frac{x^2 + y^2}{2 * \sigma^2}\right)$ is chosen as the kernel of the

steerable filter. Accordingly, for this kernel of information, a steerable filter at an arbitrary orientation θ can be synthesized using a linear combination of three basis filters according to:

5

$$h^\theta(x, y) = k_1(\theta)h^0(x, y) + k_2(\theta)h^{60}(x, y) + k_3(\theta)h^{120}(x, y),$$

where

$$h^0(x, y) = x^2 \exp\left(-\frac{x^2 + y^2}{2 * \sigma^2}\right),$$

10

$$h^{60}(x, y) = \left(\frac{1}{2}x + \frac{\sqrt{3}}{2}y\right)^2 \exp\left(-\frac{x^2 + y^2}{2 * \sigma^2}\right),$$

$$h^{120}(x, y) = \left(-\frac{1}{2}x + \frac{\sqrt{3}}{2}y\right)^2 \exp\left(-\frac{x^2 + y^2}{2 * \sigma^2}\right)$$

and

15

$$k_1(\theta) = 1 + 2 \cos 2\theta$$

$$k_2(\theta) = 1 - \cos 2\theta + \sqrt{3} \sin 2\theta$$

20

$$k_3(\theta) = 1 - \cos 2\theta - \sqrt{3} \sin 2\theta .$$

As illustrated in the embodiment of **Figure 2B**, an image $[I(x, y)]$ 100 is applied to the steerable filter $[Filter f \theta (x, y)]$ 105 which provides two different matrices for each image, an orientation matrix 110 and an energy matrix 115. The orientation matrix 110, also referred to as an Orientation

Map Θ (I(x,y)) 110, is derived by computing the dominant orientation at each pixel position (x,y) by using **equation (1)**:

$$\theta(x, y) = \frac{1}{2} \arctan \left(\frac{\sqrt{3}(E(60^\circ)(x, y) - E(120^\circ)(x, y))}{2E(0^\circ)(x, y) - E(60^\circ)(x, y) - E(120^\circ)(x, y)} \right). \quad (1)$$

5

The energy matrix 115, also referred to as an Energy Map E (I(x,y)) 115, corresponds to the dominant orientation at each pixel position (x,y) in accordance with **equation (2)**:

$$E(x, y) = E(0^\circ) + E(60^\circ) + E(120^\circ) + \frac{2\sqrt{E^2(0^\circ) + E^2(60^\circ) + E^2(120^\circ) - E(0^\circ)(E(60^\circ) + E(120^\circ)) - E(60^\circ)E(120^\circ)}}{3} \quad (2)$$

10

Accordingly, for each matrix or map, the Orientation Map Θ (I(x,y)) 110 and the Energy Map E (I(x,y)) 115, a corresponding histogram or set of histograms is used to represent global information, along with a set of co-occurrence matrices which are used to represent local information. As such, the Orientation Map Θ (I(x,y)) 110 is represented as a corresponding orientation histogram H (θ) 120 and set of orientation co-occurrence matrices C Θ 125. Similarly, the Energy Map E (I(x,y)) 115 is represented as a corresponding energy histogram H(E) 130 and set of energy co-occurrence matrices CE 135. Therefore, each image 100 is represented by a corresponding orientation histogram H(θ) 120, a set of orientation co-occurrence matrices C Θ 125, a corresponding energy histogram H(E) 130, and a set of energy co-occurrence matrices CE 135.

20

Next, a series of descriptors are extracted from each of the corresponding histograms and co-occurrence matrices. In one embodiment, the descriptors extracted from the orientation histogram $H(\theta)$ 120 of the Orientation Map $\Theta(I(x,y))$ 110 are peak descriptors (PD) 140 and statistic descriptors (SD1) 145. The peak descriptors (PD) 140 comprise position, value, and shape data associated with the orientation histogram $H(\theta)$ 120. The statistic descriptors (SD1) 145 indicate mean, standard deviation, third and fourth order moments associated with the orientation histogram $H(\theta)$ 120. Select elements within the peak descriptors (PD) 140 are used to classify images into different categories, whereas the statistic descriptors (SD1) 145 are used to describe the shape of the orientation histogram $H(\theta)$ 120.

Descriptors extracted from the orientation co-occurrence matrices $C\Theta$ 125 of the Orientation Map $\Theta(I(x,y))$ 110 are co-occurrence descriptors (COD1) 150. The co-occurrence descriptors (COD1) 150 comprise maximum probability, entropy, uniformity, mean, correlation, and difference moments. The co-occurrence descriptors (COD1) 150 in the present embodiment are computed in four different orientations (-45 Degrees, 0 Degrees, 45 Degrees, and 90 Degrees).

Correspondingly, the descriptors extracted from the energy histogram $H(E)$ 130 of the Energy Map $E(I(x,y))$ 115 are statistic descriptors (SD2) 155. The statistic descriptors (SD2) 155 indicate mean, standard deviation, third and fourth order moments associated with the energy histogram $H(E)$ 130. The statistic descriptors (SD2) 155 associated with the

energy histogram $H(E)$ 130 are used to describe the shape of the orientation energy histogram $H(E)$ 130.

Likewise, the descriptors extracted from the energy co-occurrence
5 matrices CE 135 of the Energy Map $E(I(x,y))$ 115 are co-occurrence descriptors (COD2) 160. The co-occurrence descriptors (COD2) 160 comprise maximum probability, entropy, uniformity, mean, correlation, and difference moments. The co-occurrence descriptors (COD2) 160 in the present embodiment are computed in four different orientations
10 (-45 Degrees, 0 Degrees, 45 Degrees, and 90 Degrees).

The descriptors associated with an image are combined in order to form a feature vector or image descriptor 165. As such, in one embodiment, each individual descriptor 170 associated with an image comprises a peak
15 descriptors (PD) 140, statistic descriptors (SD1) 145, co-occurrence descriptors (COD1) 150, statistic descriptors (SD2) 155, and co-occurrence descriptors (COD2) 160, which are combined to form an image descriptor 165. As such, the image descriptor 165 is a full representation of each image which may be used for image processing. For multi-band applications, an image descriptor
20 165 is generated for each information band comprising the multi-band image, as such, each information band associated with each multi-band image has a corresponding image descriptor 165. For instance, a multi-band image using the RGB color spectrum would have an individual image descriptor 165 for each information or color band (RGB) of the multi-band
25 image.

One aspect of the present invention, which will be appreciated from the above discussion with reference to **Figure 2B**, is that the input image $[I(x,y)]$ 100 may carry a negative value when applied to the steerable filter $[Filter f \theta (x,y)]$ 105 and thus needs to be adjusted. For example, under
5 certain circumstances, the subtraction of the two levels of the Gaussian images may produce a Laplacian image or the $[I(x,y)]$ 100 image having a negative value. The Laplacian image having a negative intensity value can not be used as input to the steerable filter since the output orientation data and energy data will not be meaningful. Consequently, the respective
10 orientation map 110 and energy map 115 can not be used to create image descriptors.

In one embodiment of the present invention, the negativity of the input image $[I(x,y)]$ 100 is determined by measuring its intensity value. For
15 example, as shown in **Figure 2A**, Gaussian image 23 may possess higher intensity value than Gaussian image 22. As previously described, Laplacian image 25 is generated by the subtraction of the two Gaussian images at the corresponding levels, thus in this example, causing Laplacian image 25 to possess a negative intensity value. Referring back to **Figure 2B**, based on
20 this intensity value, an adjustment is made by adding a constant value C to the input image $[I(x,y)]$ 100, or the Laplacian image, such that the adjusted Laplacian input image $I'(x,y)$ is positive and is in the form:

$$I'(x,y) = I(x,y) + C$$

25

Accordingly, with the adjusted input image $I'(x,y)$, the output of the steerable basis filter can be expressed by the equation:

$$E'(\Theta) = E(\Theta) + C$$

5

where $E(\Theta)$ is the output of the steerable filter when the steerable filter is applied to the image $I(x,y)$, and where the C is the resulting adjustment to the output of the steerable filter when the adjustment value C is added to the input image $I(x,y)$.

10

Using the same adjusted Laplacian image $I'(x,y)$ as the input image of the steerable filter, the Orientation map $\Theta'(I'(x,y))$ can be represented by the following equation:

$$15 \quad \theta'(x, y) = \frac{1}{2} \arctan \left(\frac{\sqrt{3}(E(60^\circ)(x, y) + C - E(120^\circ)(x, y) - C)}{2E(0^\circ)(x, y) + 2C - E(60^\circ)(x, y) - C - E(120^\circ)(x, y) - C} \right)$$

The value C in $\Theta'(x,y)$ cancels one another, leaving $\Theta'(I'(x,y)) = \Theta(I(x,y))$.

Thus the Orientation map is invariant to the DC component change.

20

Similarly, using the same adjusted Laplacian image $I'(x,y)$ as the input image of the steerable filter, the energy map $E'(I'(x,y))$ can be represented by the following equation:

$$25 \quad E'(x, y) = E(0^\circ)(x, y) + E(60^\circ)(x, y) + E(120^\circ)(x, y) + \frac{2\sqrt{E^2(0^\circ) + E^2(60^\circ) + E^2(120^\circ) - E(0^\circ)(E(60^\circ) + E(120^\circ)) - E(60^\circ)E(120^\circ)}}{3} + 3C$$

Thus, the orientation histogram $H(\theta)$ 120 and the orientation co-occurrence matrices $C\Theta$ 125 do not change. The energy histogram $H(E)$ 130, and the energy co-occurrence matrices CE 135 reserve their shapes but have a spartial shift by a value of $3C$. The centered moments are used as descriptors to get rid of the translation caused by the DC component C . Although the intensity value is discussed in this embodiment to detect negativity, other image characteristics may be used.

Figure 3A and **Figure 3B** illustrate a multi-band image along with the corresponding respective orientation map and energy map associated with the multi-band image. **Figure 3A** and **Figure 3B** also illustrate the corresponding histograms (0 Degrees to 181 Degrees) and matrices, in addition to the corresponding image descriptors, associated with the multi-band image. The multi-band image has an associated image descriptor which describes the corresponding multi-band image, each image descriptor constituting a peak descriptor (PD), a statistic descriptor (SD1), a co-occurrence descriptor (COD1), a statistic descriptor (SD2), and a co-occurrence descriptor (COD2). Each image descriptor describes a particular image in accordance with the attributes associated with that particular individual image.

In **Figures 3A** and **Figure 3B**, a representative orientation histogram $H(\theta)$ 305 and other related information (histograms/matrices) are illustrated for each information band 310 (e.g., RGB color bands) of the multi-band images 300. Likewise, the corresponding image descriptor 320

provided for each information band 310 of the multi band image 300 contain data corresponding to each representative orientation histogram $H(\theta)$ 305 and other related information associated with each information band 310. The representative orientation histograms $H(\theta)$ 305 corresponding to the multi-band images 300 of **Figures 3A** exhibits large peaks 315 along the different coordinates of the corresponding orientation histograms $H(\theta)$ 305. Correspondingly, the large peaks 315 represented in each representative orientation histogram $H(\theta)$ 305 are likewise represented in the corresponding image descriptors 320 associated with each corresponding information band 310 as large peak representative data. Accordingly, those image descriptors 320 which contain large peak representative data, corresponding to the large peaks 315 represented in each representative orientation histogram $H(\theta)$ 305, are classified into the large peak category. For instance, the orientation histogram $H(\theta)$ 305 associated with the (B) information band (e.g., blue color band) of **Figure 3A** and **Figure 3B** exhibits a series of large peaks 315 along different coordinates (2 Degrees, 90 Degrees, and 179 Degrees) of the corresponding orientation histogram $H(\theta)$ 305, the series of large peaks 315 are likewise reflected in the corresponding image descriptor 320 at 2 Degrees, 90 Degrees, and 179 Degrees. Likewise, the orientation histogram $H(\theta)$ 305 associated with the (G) information band (e.g., green color band) of **Figure 3A** and **Figure 3B** exhibits a series of large peaks 315 along different coordinates (1 Degree, 89 Degrees, and 179 Degrees) of the corresponding orientation histogram $H(\theta)$ 305, the series of large peaks 315 are likewise reflected in the corresponding image descriptor 320 at 1 Degree, 89 Degrees, and 179 Degrees. Further, the orientation histogram $H(\theta)$ 305 associated with the (R) information band (e.g., red color

band) of **Figure 3A** and **Figure 3B** exhibits a series of large peaks 315 along different coordinates (1 Degree, 90 Degrees, and 179 Degrees) of the corresponding orientation histogram $H(\theta)$ 305, the series of large peaks 315 are likewise reflected in the corresponding image descriptor 320 at 1 Degree, 90 Degrees, and 179 Degrees. Although the large peak is being used as a category in this example, it is understood that a variety of different category types could be derived or instituted depending upon a specific or desired implementation of any categorization or image comparison scheme.

Figure 4 illustrates an embodiment of an exemplary computer system that can be used with the present invention. The various components shown in **Figure 4** are provided by way of example. Certain components of the computer in **Figure 4** can be deleted from the addressing system for a particular implementation of the invention. The computer shown in **Figure 4** may be any type of computer including a general purpose computer.

Figure 4 illustrates a system bus 400 to which various components are coupled. A processor 402 performs the processing tasks required by the computer. Processor 402 may be any type of processing device capable of implementing the steps necessary to perform the addressing and delivery operations discussed above. An input/output (I/O) device 404 is coupled to bus 400 and provides a mechanism for communicating with other devices coupled to the computer. A read-only memory (ROM) 406 and a random access memory (RAM) 408 are coupled to bus 400 and provide a storage mechanism for various data and information used by the computer. Although ROM 406 and RAM 408 are shown coupled to bus 400, in alternate

embodiments, ROM 406 and RAM 408 are coupled directly to processor 402 or coupled to a dedicated memory bus (not shown).

5 A video display 410 is coupled to bus 400 and displays various information and data to the user of the computer. A disk drive 412 is coupled to bus 400 and provides for the long-term mass storage of information. Disk drive 412 may be used to store various profile data sets and other data generated by and used by the addressing and delivery system. A keyboard 414 and pointing device 416 are also coupled to bus 400
10 and provide mechanisms for entering information and commands to the computer. A printer 418 is coupled to bus 400 and is capable of creating a hard copy of information generated by or used by the computer.

15 **Figure 5** illustrates an embodiment of an exemplary computer-readable medium 500 containing various sets of instructions, code sequences, configuration information, and other data used by a computer or other processing device. The embodiment illustrated in **Figure 5** is suitable, for example, to use with the peak determination method described above. The various information stored on medium 500 is used to perform various
20 data processing operations. Computer-readable medium 500 is also referred to as a processor-readable medium. Computer-readable medium 500 can be any type of magnetic, optical, or electrical storage medium including a diskette, magnetic tape, CD-ROM, memory device, or other storage medium.

25

Computer-readable medium 500 includes interface code 502 that controls the flow of information between various devices or components in the computer system. Interface code 502 may control the transfer of information within a device (e.g., between the processor and a memory device), or between an input/output port and a storage device.

5 Additionally, interface code 502 may control the transfer of information from one device to another. Computer-readable medium 500 may also include other programs working with one another to produce a result in accordance with the present invention. For example, computer-readable medium 500 may include a program that accept an original image as input and apply appropriate Gaussian filters to generate Gaussian images, as shown in block 504. A Laplacian image generation program 506 may be responsible for generating Laplacian images by using the Gaussian images of program 504 as its input. Prior to executing steerable filter program 510, the intensity value of the Laplacian image is tested for negativity by program 508. Furthermore, in the process of finding image descriptors, program 512 may be executed for peak determination.

From the above description and drawings, it will be understood by those of ordinary skill in the art that the particular embodiments shown and described are for purposes of illustration only and are not intended to limit the scope of the invention. Those of ordinary skill in the art will recognize that the invention may be embodied in other specific forms without departing from its spirit or essential characteristics. References to details of particular embodiments are not intended to limit the scope of the claims.